

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |   |
|---|---|
| -----X  |   |
|   | :   |
| <b>In re</b>                                  | :   |
|   | :   |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | :   |
|   | :   |
| <b>Debtors.</b>                               | :   |
|   | :   |
| -----X  |   |
|   | <b>Chapter 11 Case No.</b>                |
|   | <b>08-13555 (SCC)</b>                     |
|   | <b>(Jointly Administered)</b>             |
|   | <b>Ref. Docket Nos. 51515, 51516,</b>     |
|   | <b>51532, 51552, 51564, 51565, 51569,</b> |
|   | <b>51572, 51642-51646</b>                 |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 16, 2015, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
29<sup>th</sup> day of December, 2015

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

/s/ Lauren Rodriguez

Lauren Rodriguez

## **EXHIBIT A**

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|--|
| In re                                  |
| LEHMAN BROTHERS HOLDINGS INC., et al., |
| Debtors.                               |

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

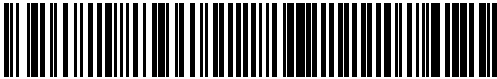
PP OPPORTUNITIES LTD  
TRANSFEROR: GOLDMAN SACHS & CO.  
C/O PAULSON & CO. INC  
1251 AVE. OF THE AMERICAS, 50TH FL  
NEW YORK, NY 10020

PP OPPORTUNITIES LTD  
SIDLEY AUSTIN LLP  
ATTN: MICHAEL GREENBLATT  
787 SEVENTH AVENUE  
NEW YORK, NY 10019

Please note that your claim # 63595-41 in the above referenced case and in the amount of  
\$1,032,612.73 allowed at \$908,841.30 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000101180892 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000171991



BARCLAYS BANK PLC  
TRANSFEROR: PP OPPORTUNITIES LTD  
ATTN: KHALIL ABUMANNEH  
745 SEVENTH AVENUE  
NEW YORK, NY 10019

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51642 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/16/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 16, 2015.

## **EXHIBIT B**

| Claim Name                             | Address Information  |
|--|--|
| BANCA MONTE DEI PASCHI DI SIENA S.P.A. | TRANSFEROR: CREDITO EMILIANO S.P.A., BANCA MEDIOLANUM S.P.A., VIA F. SFORZA, BISAGLIO 20080 ITALY  |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD, ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019   |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD, ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019   |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD, ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019   |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD., ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019  |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD., ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019  |
| BARCLAYS BANK PLC                      | TRANSFEROR: PP OPPORTUNITIES LTD., ATTN: KHALIL ABUMANNEH, 745 SEVENTH AVENUE, NEW YORK, NY 10019  |
| BKM HOLDINGS (CAYMAN) LTD.             | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC, C/O INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED, 190 ELGIN AVENUE, GEORGE TOWN KY1-9005 GRAND CAYMAN    |
| BKM HOLDINGS (CAYMAN) LTD.             | C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022  |
| BSI SA<br>O                            | TRANSFEROR: UBS AG, CUSTODY ADMINISTRATION - ATTN: ANDREA FERRARI, VIA MAGATTI 2, LUGANO 6900 SWITZERLAND  |
| CREDITO EMILIANO S.P.A.                | ATTN: MR. LUCA MARIANI & EFISIO BERTRAND, VIA EMILIA S. PIETRO, 4, REGGIO EMILIA 42100 ITALY   |
| CREDITO EMILIANO S.P.A.                | ATTN: EFISIO BERTRAND, VIA EMILIA SAN PIETRO, N. 4, REGGIO EMILIA 42121 ITALY  |
| DEUTSCHE BANK AG, LONDON BRANCH        | TRANSFEROR: BAYERISCHE LANDESBANK, ATTN: JEFFREY OLINSKY, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005   |
| GOLDMAN SACHS & CO.                    | TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282  |
| GOLDMAN SACHS LENDING PARTNERS LLC     | TRANSFEROR: OZ SPECIAL MASTER FUND, LTD., C/O GOLDMAN, SACHS & CO., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302             |
| HBK MASTER FUND, L.P.                  | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O HBK SERVICES LLC ATTN: VIVIAN TORIAN, 2101 CEDAR SPRINGS ROAD, SUITE 700, DALLAS, TX 75201                |
| ICCREA BANCA S.P.A.                    | ATTN: C.A. LEGAL DEPARTMENT, ANTONIO TORRE & CLAUDIA PRIVITERA, VIA LUCREZIA ROMANA 41/47, ROMA 00178 ITALY  |
| ICCREA BANCA S.P.A.                    | ORRICK, HERRINGTON & SUTCLIFFE LLP, ATTN: ALYSSA D. ENGLUND, ESQ., 666 FIFTH AVENUE, NEW YORK, NY 10103  |
| INTESA SANPAOLO S.P.A.                 | TRANSFEROR: ICCREA BANCA S.P.A., LEGAL DEPARTMENT, VIA VERDI 8, MILANO 20121 ITALY   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | F/K/A MORGAN STANLEY & CO. INTERNATIONAL LIMITED, C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293 |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | CADWALADER, WICKERSHAM & TAFT LLP, ATTN: MARK C. ELLENBERG, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | 1585 BROADWAY, NEW YORK, NY 10036-8293   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, C/O MORGAN STANLEY & CO. INCORPORATED, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293                    |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | CADWALADER, WICKERSHAM & TAFT LLP, ATTN: HOWARD R. HAWKINS, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293                    |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | MARK C. ELLENBERG, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC | HOWARD R. HAWKINS, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281   |

| Claim Name                               | Address Information   |
|--|---|
| PAULSON CREDIT OPPORTUNITIES MASTER LTD. | TRANSFEROR: JEFFERIES HIGH YIELD TRADING, LLC, ATTN: STUART MERZER, 1251 AVENUE OF AMERICAS, 50TH FLOOR, NEW YORK, NY 10020               |
| PAULSON CREDIT OPPORTUNITIES MASTER LTD. | JAMES OLIVO - OPERATIONS MANAGER, 1251 AVENUE OF THE AMERICAS, NEW YORK, NY 10020   |
| PP OPPORTUNITIES LTD                     | TRANSFEROR: GOLDMAN SACHS & CO., C/O PAULSON & CO. INC, 1251 AVE. OF THE AMERICAS, 50TH FL, NEW YORK, NY 10020                            |
| PP OPPORTUNITIES LTD                     | SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| PP OPPORTUNITIES LTD                     | TRANSFEROR: GOLDMAN SACHS & CO., C/O PAULSON & CO., INC, 1251 AVE. OF THE AMERIAS, 50TH FL, NEW YORK, NY 10020                            |
| PP OPPORTUNITIES LTD                     | SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| PP OPPORTUNITIES LTD                     | TRANSFEROR: GOLDMAN SACHS & CO., C/O PAULSON & CO. INC., 1251 AVE. OF THE AMERICAS, 50TH FL, NEW YORK, NY 10020                           |
| PP OPPORTUNITIES LTD                     | SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| PP OPPORTUNITIES LTD.                    | TRANSFEROR: GOLDMAN, SACHS & CO., C/O PAULSON & CO. INC., ATTN: JAMES OLIVO, 1251 AVENUE OF THE AMERICAS, 50TH FLOOR, NEW YORK, NY 10020  |
| PP OPPORTUNITIES LTD.                    | SIDLEY AUSTIN LLP, ATTN: JAIME SENIOR, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| PP OPPORTUNITIES LTD.                    | TRANSFEROR: GOLDMAN, SACHS & CO., C/O PAULSON & CO. INC., ATTN: JAMES OLIVO, 1251 AVENUE OF THE AMERICAS, 50TH FLOOR, NEW YORK, NY 10020  |
| PP OPPORTUNITIES LTD.                    | SIDLEY AUSTIN, ATTN: JAMIE SENIOR, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| PP OPPORTUNITIES LTD.                    | TRANSFEROR: GOLDMAN, SACHS & CO., C/O PAULSON & CO INC, ATTN: JAMES OLIVO, 1251 AVENUE OF THE AMERICAS, 50TH FLOOR, NEW YORK, NY 10020    |
| PP OPPORTUNITIES LTD.                    | JAIME SENIOR, SIDLEY AUSTIN LLP, 787 SEVENTH AVENUE, NEW YORK, NY 10019   |
| UBS AG                                   | BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND  |
| UBS AG                                   | ATTN: HUGO KOLLER, QO9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND   |
| VARDE INVESTMENT PARTNERS, LP            | TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD, SUITE 1500, MINNEAPOLIS, MN 55437 |
| VARDE INVESTMENT PARTNERS, LP            | TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD, SUITE 1500, MINNEAPOLIS, MN 55437 |

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| <b>Total Creditor Count 45</b> |
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